

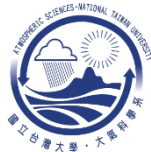
# The Influences of Low-Frequency Vorticity on Tropical Cyclone Formation Based on Systematic Model Simulations

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# Outline

1. Introduction
2. **Environment** of Tropical cyclone (**TC**) formation in the western N Pacific (**WNP**)
3. Diagnosis of **systematic simulation** results
4. **Cumulus** scheme experiments
5. Summary

...it is far more natural to assume that genesis is a series of events, arising by chance from ... of  
 furt **TC Formation is a stochastic process**

...the climatological and synoptic conditions do not directly determine the process of genesis, but ... **affect the probability** of its happening.

(Ooyama, 1982)

Time

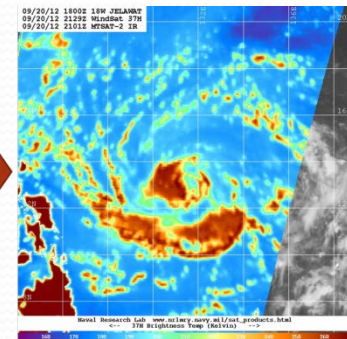
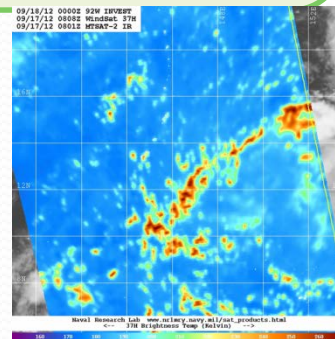
# Any deterministic nature of TC Formation???

## Synoptic Environments, Easterly Waves

- (Briegel and Frank, 1996; Ritchie and Holland, 1999; Dunkerton et al., 2009; Montgomery et al., 2009; Wang et al., 2010a, b; Montgomery et al., 2010; Chang et al., 2010; Lin and Lee, 2011; Wang et al., 2011)

## VHTs, MCVs

- (Ritchie and Holland, 1997; Simpson et al., 1997; Hendricks et al., 2004; Montgomery et al., 2006; Houze et al., 2010)

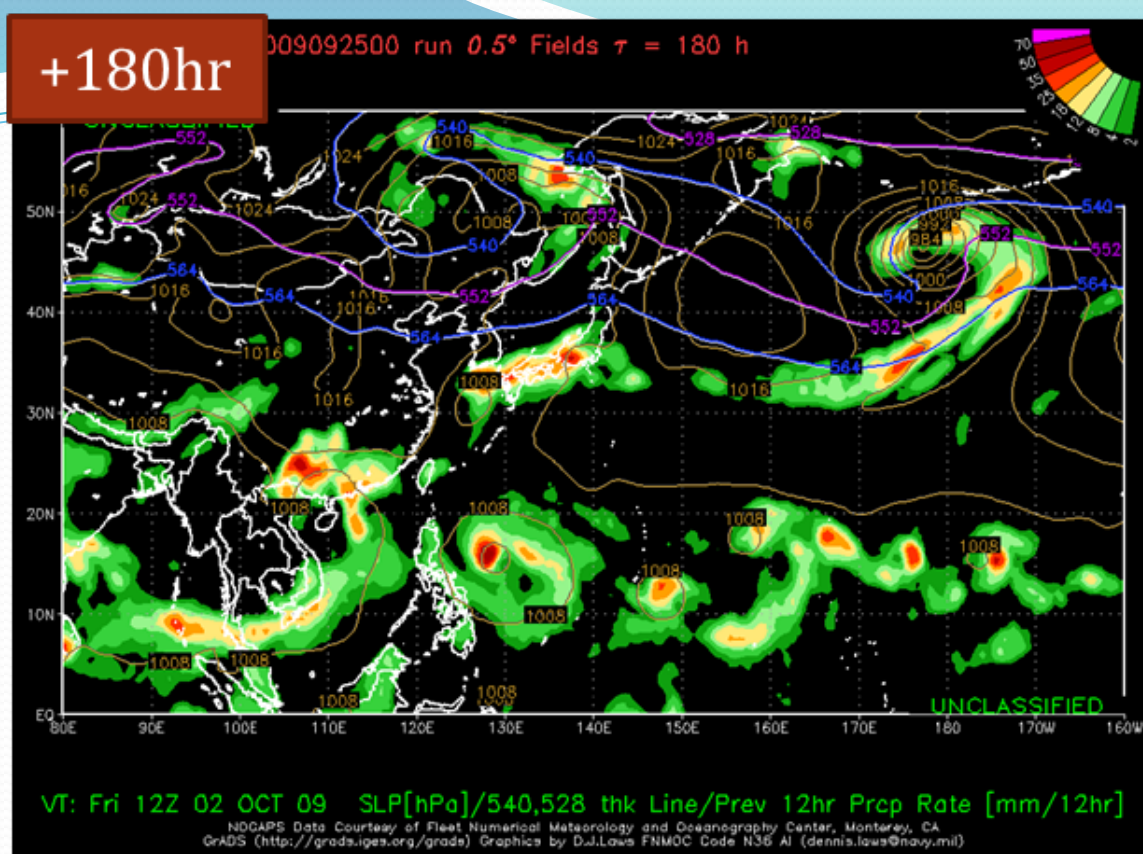


~100 km

~1000 km

~5000 km

Scale



The 32-day ensemble forecast model of **ECMWF was able to resolve the formation of most TCs** (2009–2010), but some of the weak and short-lived TCs were missed.

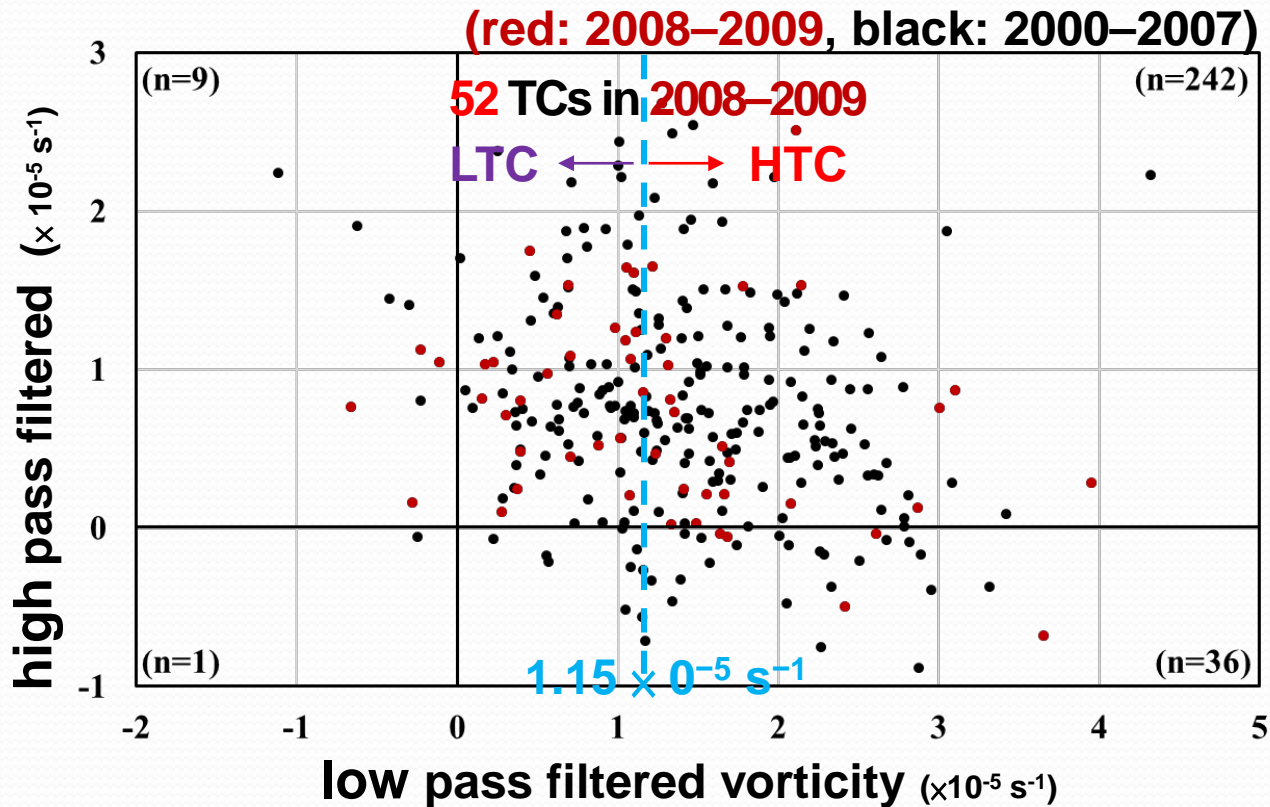
- (Elsberry et al., 2010, 2011; Tsai and Elsberry, 2013; Elsberry et al., 2014)

To understand the **capability** of a numerical model to simulate (forecast) TC formation properly under different **environments**\*1? (\*1 Ritchie and Holland, 1999)

# Background 850-hPa vorticity of pre-TC disturbances

The **10-day<sup>\*2</sup> low pass** and **high pass** filters are applied to NCEP\_FNL (2000-2009) data to obtain low/high pass filtered winds. - (\*2 Wu et al., 2013)

Use filtered winds to compute 850-hPa mean vorticity within **5°** radius of the **pre-TC** disturbance in the WNP at **24-48h before** the **formation of TC** ( $V_{max} \sim 25kt$ ).



TCs with **Higher** low-frequency vorticity, 26 HTCs - **HTCs**  
TCs with **Lower** low-frequency vorticity, 26 LTCs - **LTCs**

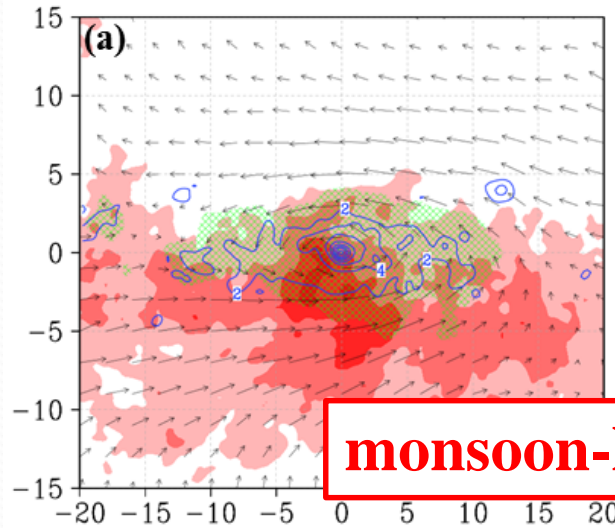
# Synoptic environments during TC formation (850hPa)

- 48 h

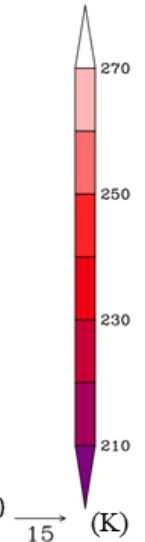
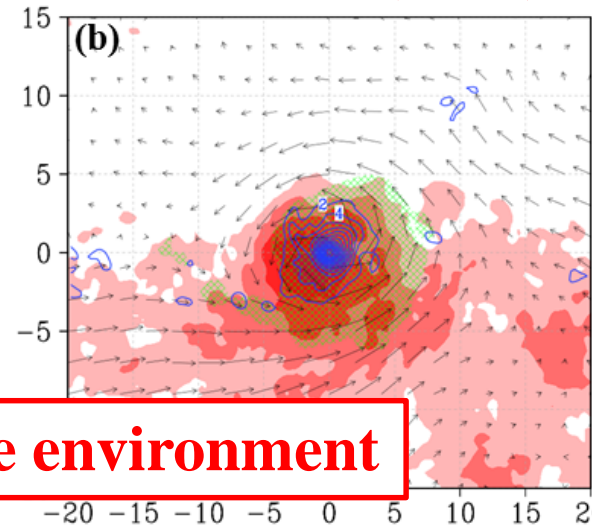
0 h (25 kt)

**HTCs**

TCs with higher  
low-frequency  
vorticity

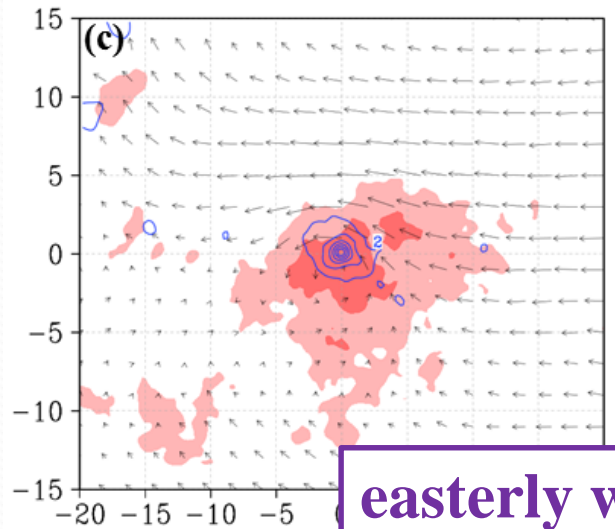


**monsoon-like environment**

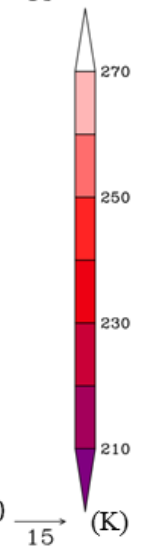
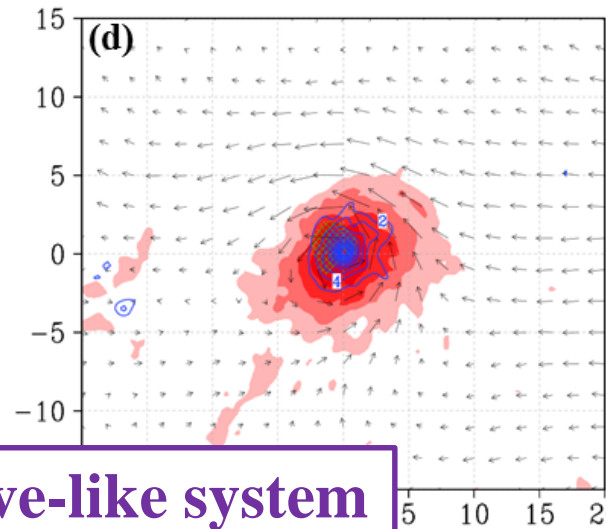


**LTCs**

TCs with lower  
low-frequency  
vorticity



**easterly wave-like system**



Vorticity ( $\sim 2 \times 10^{-5} \text{s}^{-1}$ ), wind vectors and **cloud top temperature**

# Model setup of systematic numerical simulations

Use WRF V3.2.1 to simulate  
all **52 TCs** in **2008** and **2009**

Cloud microphysics: **WDM6**

Cumulus scheme: **Kain-Fritsch**

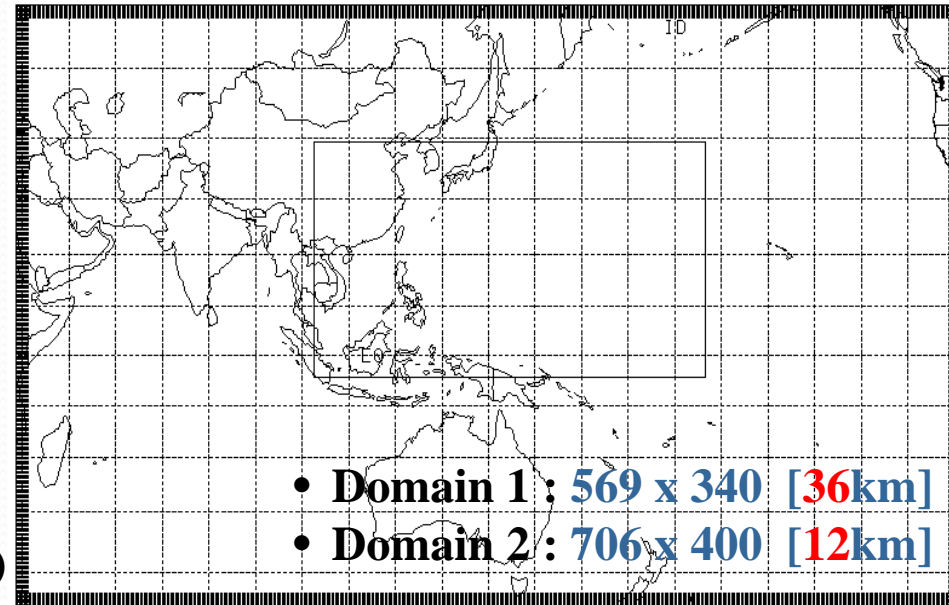
PBL Physics : **YSU**

-Kieu and Zhang, 2008; Chiao and Jenkins, 2010; Wang et al., 2010;  
Crosbie and Serra, 2014; Li et al., 2014; Xu et al., 2014

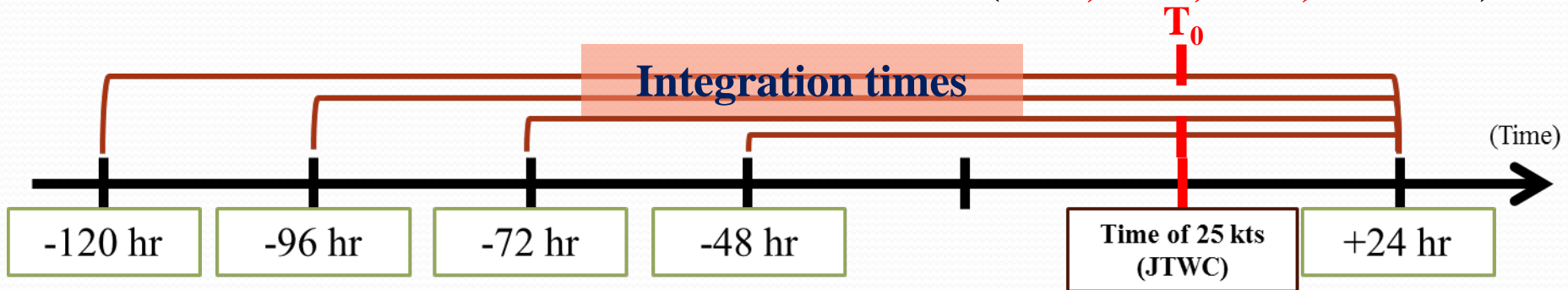
Initial conditions:

**NCEP\_FNL** &

**EC\_YOTC** (available only in **2008-2009**)



Simulations started at **4 distinct initial times** (**-48h, -72h, -96h, & -120h**)



For each TC: **2** (initial conditions) x **4** (initial times) = **8 members**

**416 runs**

# Criteria used to defined a model-simulated TC

Target period: **-12 hr ~ +12 hr** of 1<sup>st</sup> 25 kt (JTWC best track)

1. Clear circulation center and max. vorticity center at 850 hPa

2. Mean vorticity at 850 hPa:

- **>  $7.9 * 10^{-5} \text{ s}^{-1}$**  inside **1.5°**
- **>  $3.8 * 10^{-5} \text{ s}^{-1}$**  inside **3°**, **or** **>  $1.5 * 10^{-5} \text{ s}^{-1}$**  inside **5°**

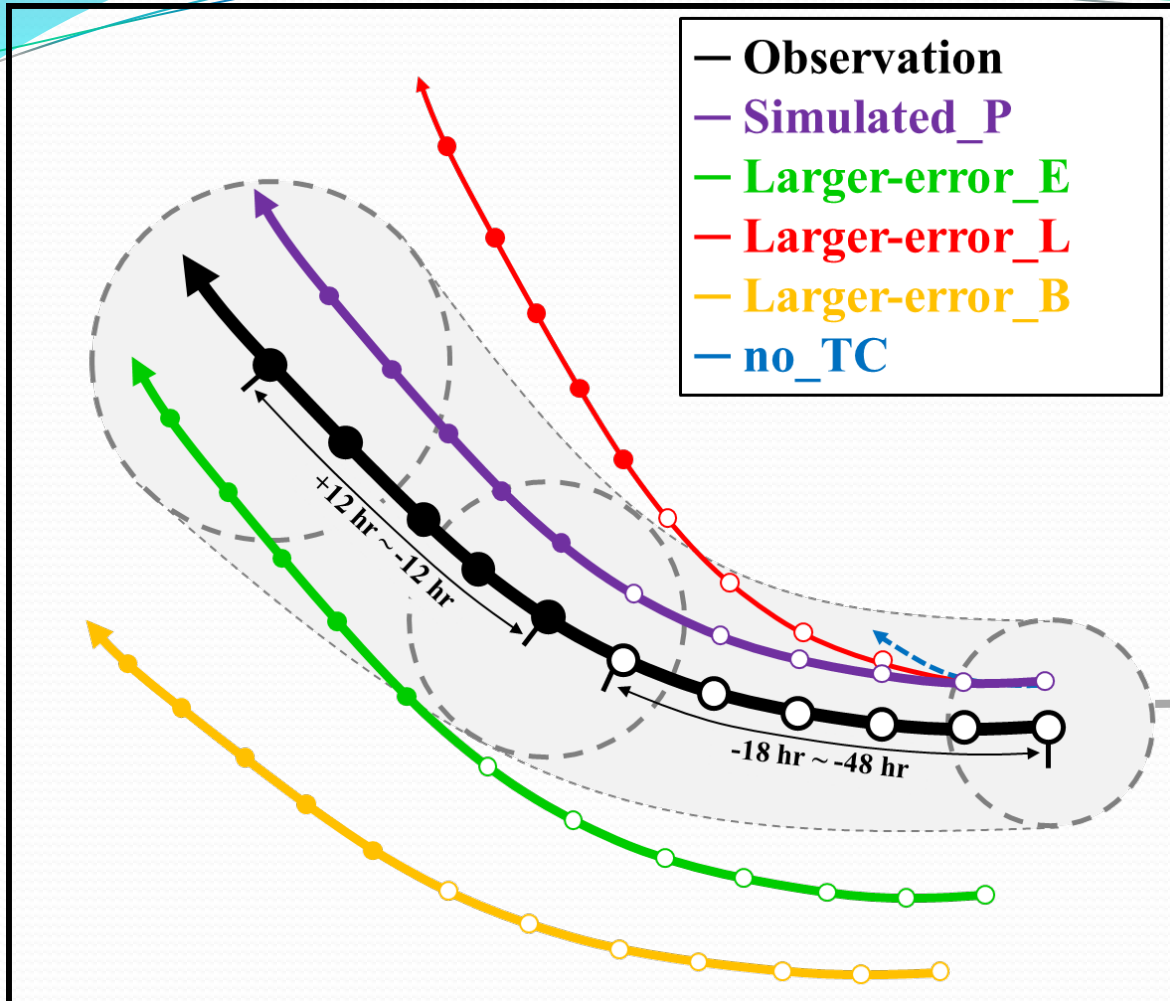
**(mean – 1 x SD of 52 TCs in EC-YOTC data)**

3. Satisfy above criteria for 12 hours or longer

Sugi et al., 2002; Chauvin et al., 2006; Yoshimura et al., 2006;  
Stowasser et al., 2007; Jourdain et al., 2011; Zhan et al., 2011



# The classification of model simulated TCs

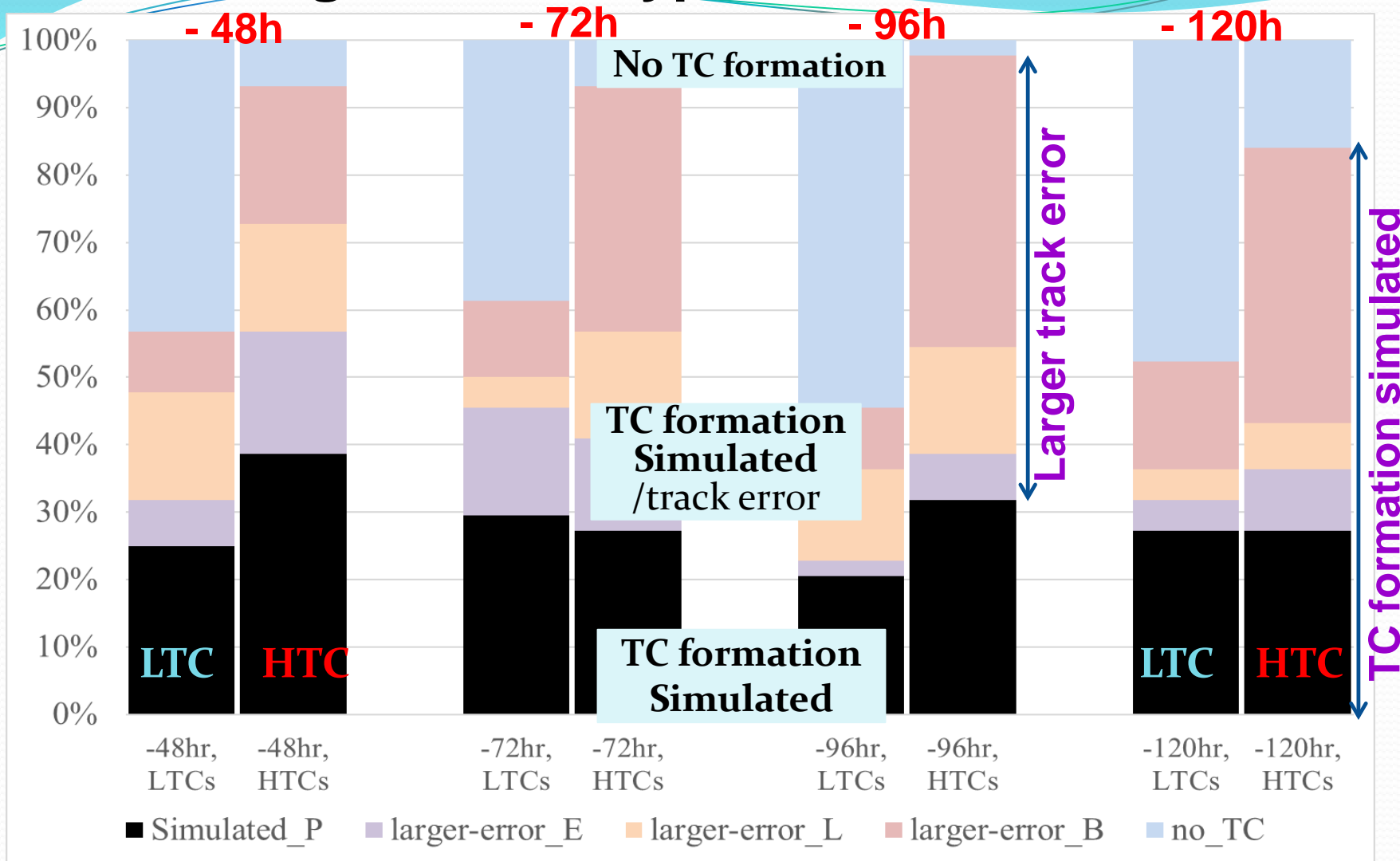


Dashed circle – mean track error of all simulated TCs (varies with initial time)

-48h ~ 249 km,  
-72h ~ 301 km,  
-96h ~ 441 km,  
-120h ~ 600 km,

Classify all 416 simulations into **5** groups: **no\_TC**, **Simulated\_P**, **large track error\_E**, **large track error\_L**, and **large track error\_B**

# Percentages of five types of simulation results



Model is **more capable** of simulating the formation of a **HTC**, but **w/larger location bias**.  
 Model is **less capable** of simulating the formation of a **LTC**, but **w/smaller location bias**.

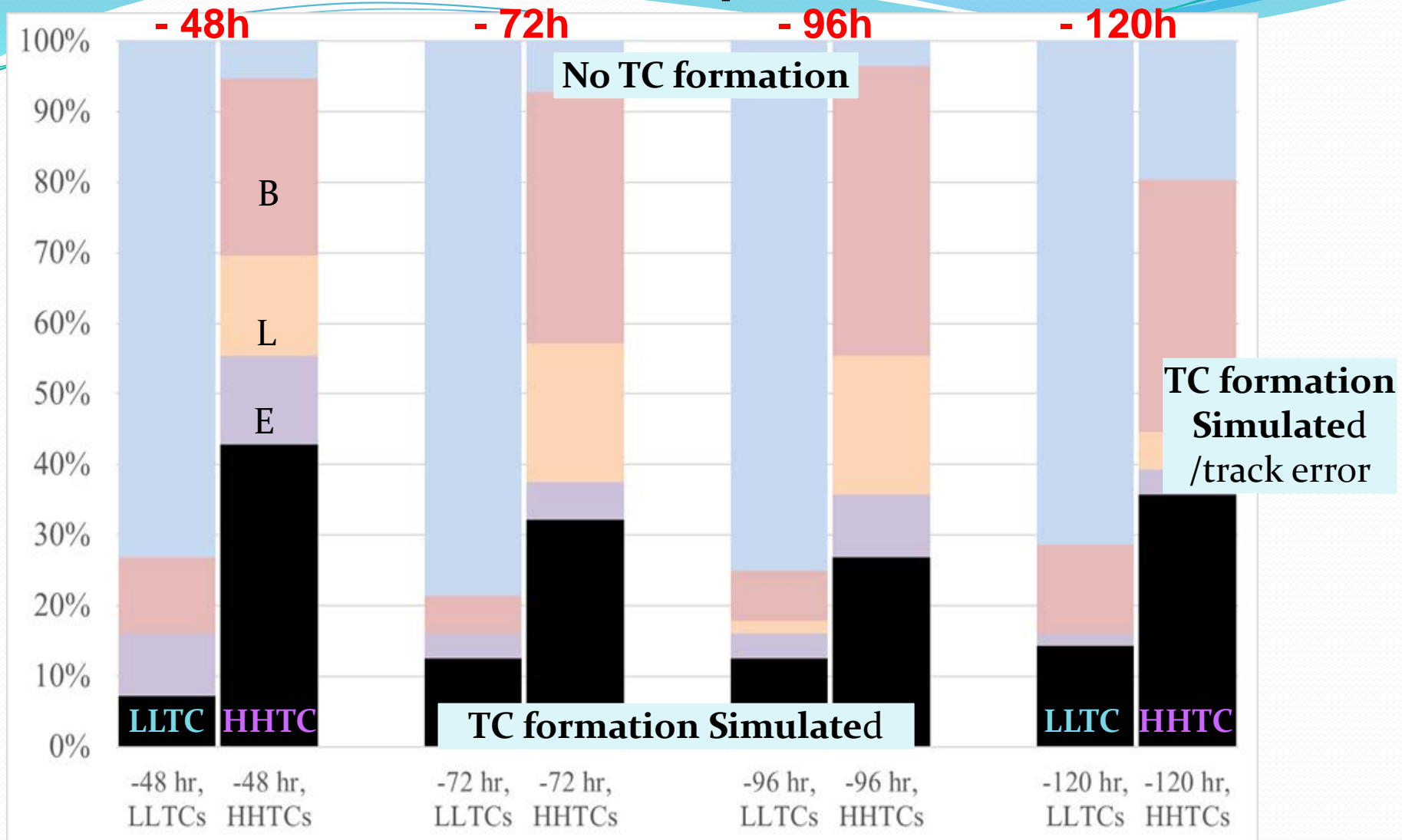
# Results **sensitive to the physics schemes** used ?

- 14 **extreme** cases are selected to perform the sensitivity test.
  - 7 **HTCs** with **Highest** low-frequency vorticity (**HHTCs**):  
Halong (2008), Kalmaegi (2008), Fung-Wong (2008), Mekkhala (2008), Morakot (2009), Dujan (2009), and Ketasna (2009)
  - 7 **LTCs** with **Lowst** low-frequency vorticity (**LLTCs**):  
Nakri (2008), Nuri (2008), TS14W (2008), Maysak (2008), Haishen (2008), Noul (2008), and Lupit (2009)
- The **cumulus scheme** appears to be the most important one, **4 cumulus schemes** are used in the test (**CU\_EXP**).

Expt	TC#	Cumulus Parameterization	PBL Physics	Micro- physics	Long- wave radiation	Short- wave radiation	Initial condi- tions	Initial times	Member Number per TC
CTL	52	Kain-Fritsch (new Eta)					EC- YOTC	-48, -72,	8
<b>CU_ EXP</b>	<b>14</b>	<b>Kain-Fritsch (new Eta), Betts-Miller-Janjic, Grell-Devenyi ensemble, and Grell 3D ensemble</b>	YSU	WDM6	RRTM	Dudhia	& NCEP- FNL	-96, -120 hr	<b>32</b>

(376 more runs, totally 792 runs)

# Cumulus experiment



The relative proportions of five simulation results for HHTCs and LLCs are similar to those for HHTCs and LLCs

# Simulated results of a **HHTC** (Dujuan, 2009) at $T_0$

-48 hr

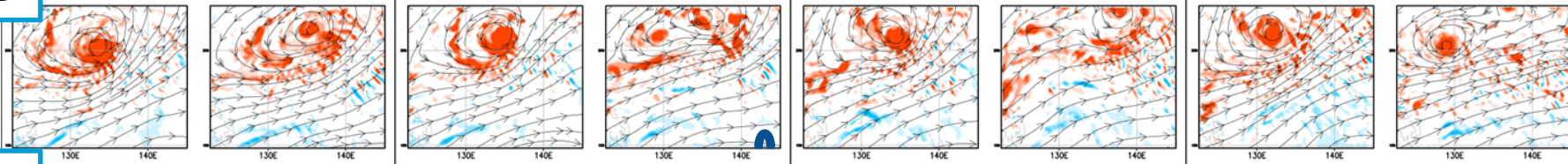
-72 hr

-96 hr

-120 hr

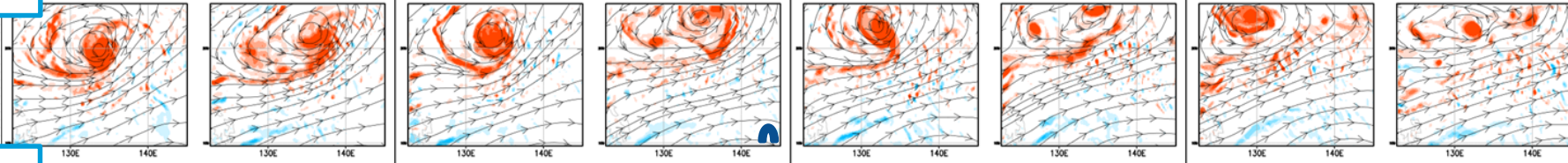
G 3D

(a)



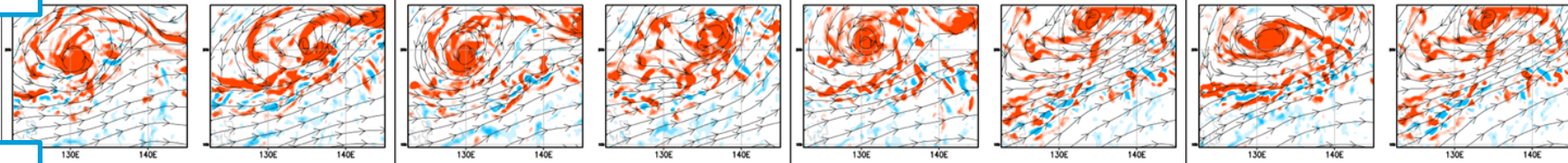
GD

(b)



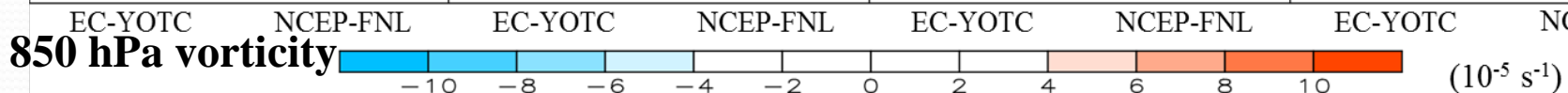
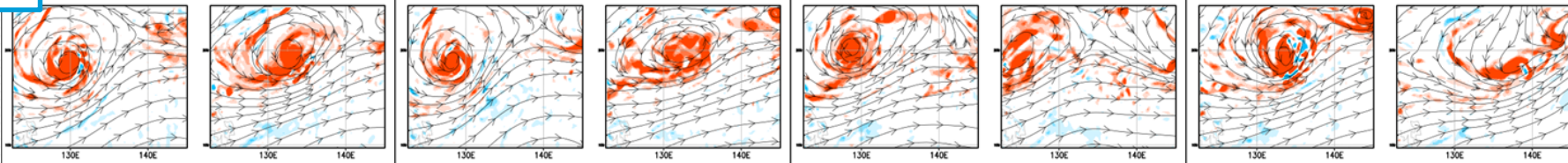
BMJ

(c)



KF

(d)



Grell 3D ensemble  
Grell-Devenyi ensemble  
Betts-Miller-Janjic  
Kain-Fritsch

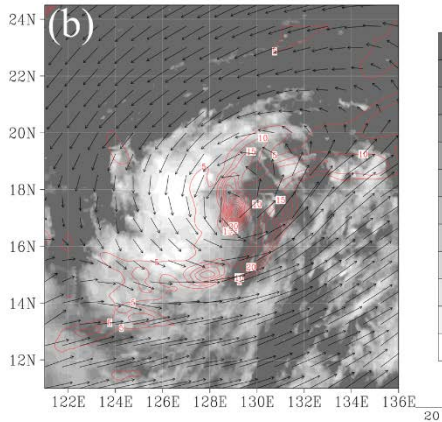
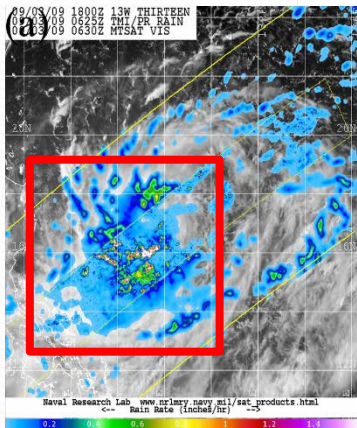
**Most simulations (30/32) have “TC formation”, but some of them have large track errors.**

# Observed vs. simulated convective features (Dujan, 2009)

HHTC (T<sub>0</sub>-12)

Cloud top temperature (K, shaded, gridsat data) &

09/03/09 0600z Analysis

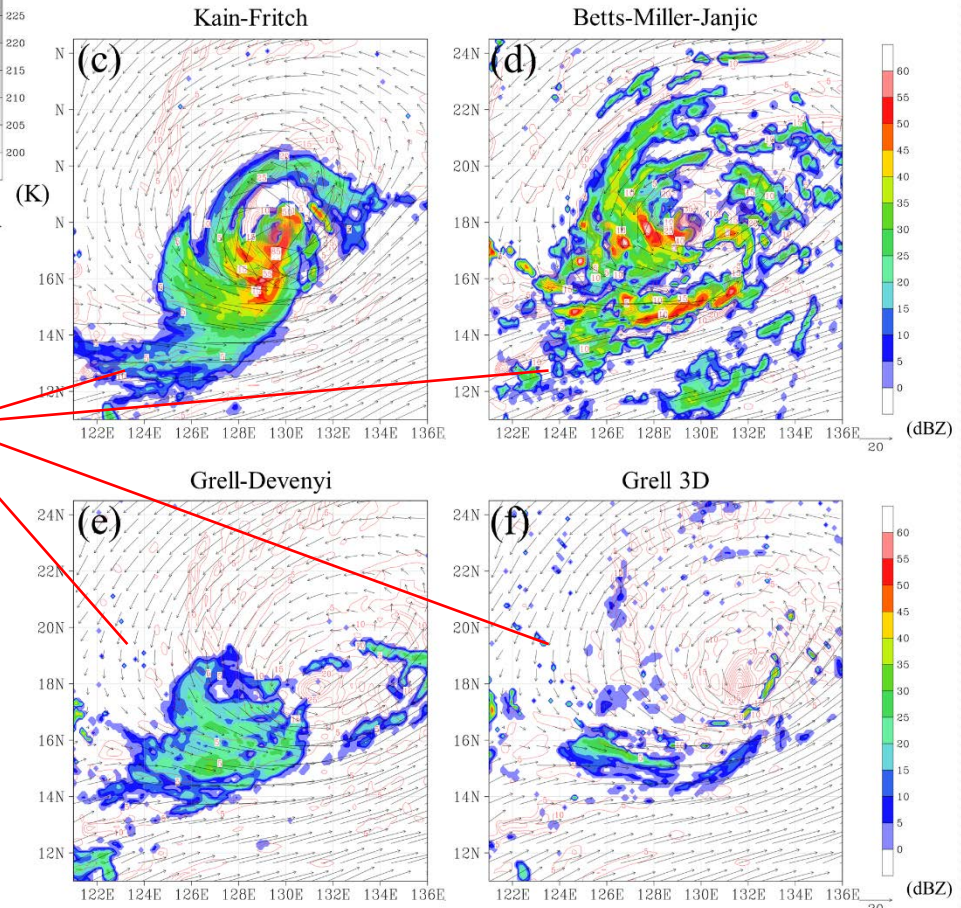


850-hPa winds and vort at 0600 UTC 03 Sep 2009  
( $> 5 \times 10^{-5} \text{ s}^{-1}$ , red contours at  $5 \times 10^{-5} \text{ s}^{-1}$  intervals)

-48 hr / EC-YOTC

Hourly rain rates (mm h<sup>-1</sup>)  
at 0625 UTC 03 Sep 2009  
(TMI/PR from NRL's website)

- Convection patterns **similar to observation**, but different in strength
- All have "TC formation", but with different track errors
- Whether TC will form or not seems to be **not too sensitive** to cumulus schemes for HHTC



Shadings - simulated composite reflectivity  
(the maximum reflectivity at grid column)

# Simulated results of a LLTC (Nuri, 2008) at $T_0$

-48 hr

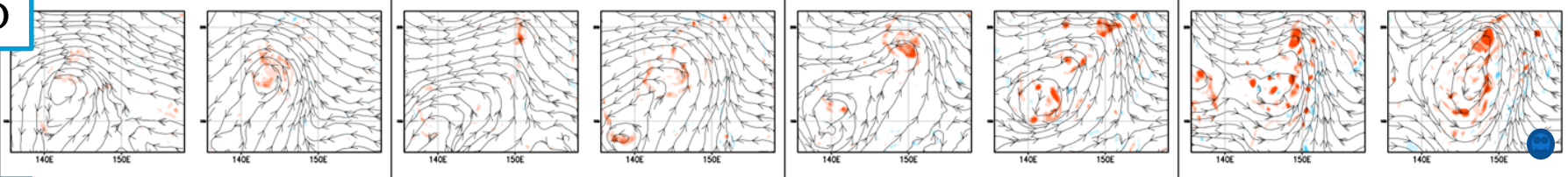
-72 hr

-96 hr

-120 hr

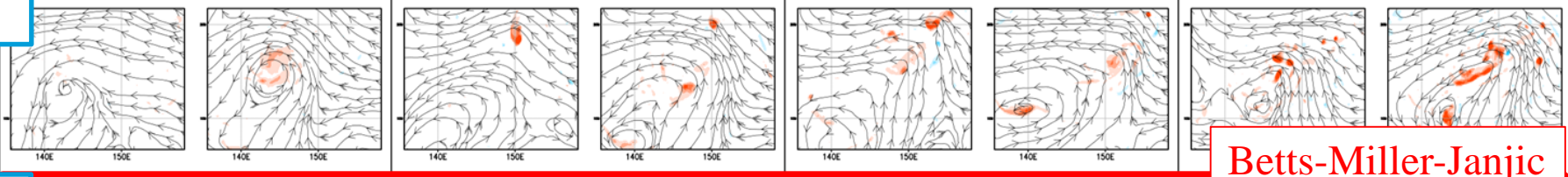
G 3D

(a)



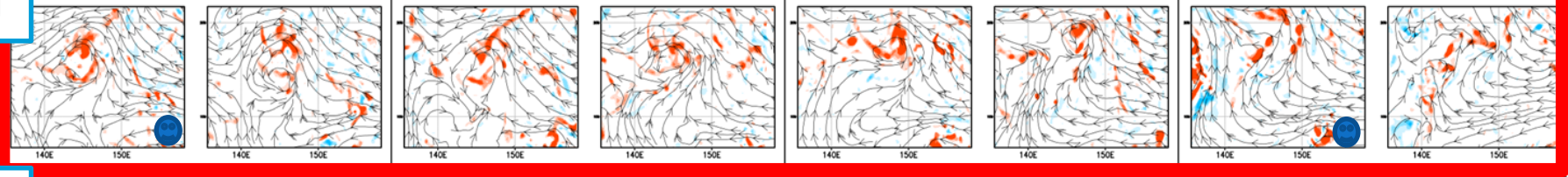
GD

(b)



BMJ

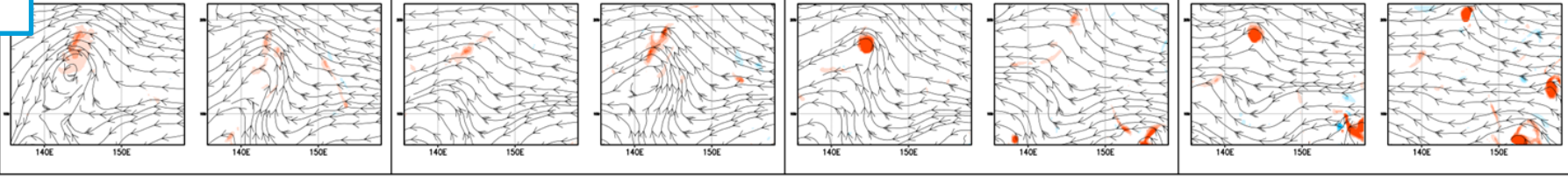
(c)



Betts-Miller-Janjic

KF

(d)



EC-YOTC

NCEP-FNL

EC-YOTC

NCEP-FNL

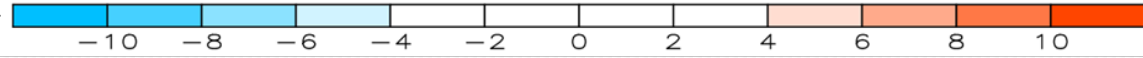
EC-YOTC

NCEP-FNL

EC-YOTC

NCEP-FNL

850 hPa vorticity



( $10^{-5} \text{ s}^{-1}$ )

Grell 3D ensemble  
 Grell-Devenyi ensemble  
 Betts-Miller-Janjic  
 Kain-Fritsch

Simulations using Betts-Miller-Janjic cumulus scheme generally have better cyclonic circulation and higher vorticity

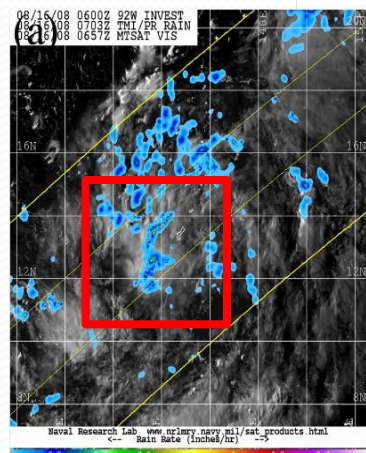
# Observed vs. simulated convective features (Nuri, 2008)

LLTC

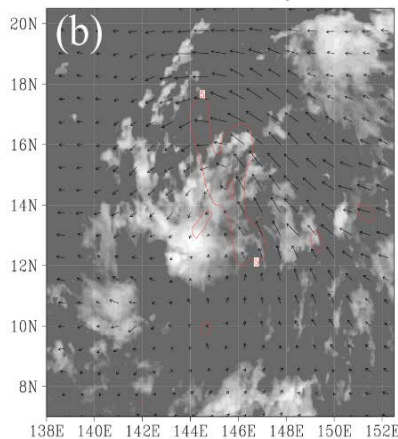
( $T_0-12$ )

Cloud top temperature (K, shaded, gridsat data) &

08/16/08 0600z Analysis

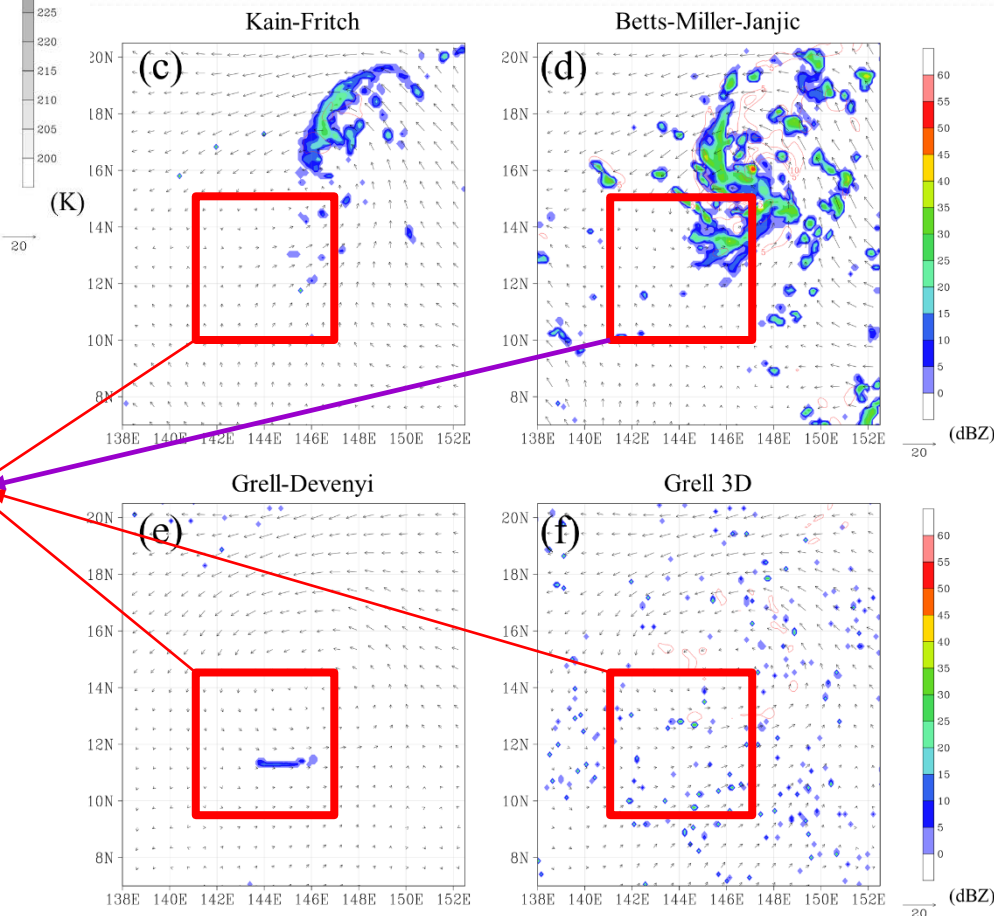


Hourly rain rates ( $\text{mm h}^{-1}$ )  
at **0703** UTC 16 Aug 2008  
(TMI/PR from NRL's website)



850-hPa winds and vort at **0600** UTC 16 Aug 2008  
( $> 5 \times 10^{-5} \text{ s}^{-1}$ , red contours at  $5 \times 10^{-5} \text{ s}^{-1}$  intervals)

-48 hr / EC-YOTC



“TC formation” occurs only  
in simulations using **BMJ**  
scheme

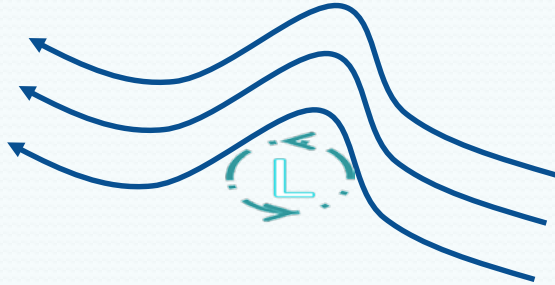
Convection pattern is  
**sensitive** to the **cumulus**  
**schemes** used for **LLTCs**

Shadings - simulated composite reflectivity  
(the maximum reflectivity at grid column)



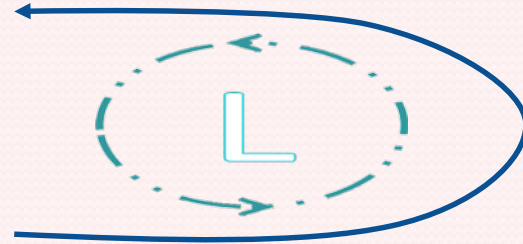
# Summary

## *Lower low-frequency vorticity*



- easterly wave-like
- harder for TC formation (lower percentage)
- smaller track error
- **Simulation results sensitive** to the cumulus schemes

## *Higher low-frequency vorticity*



- **monsoon-like**
- easier for TC formation (higher percentage)
- **larger** track error
- Simulation results not **too sensitive** to the cu schemes

The convection process (cumulus scheme) is **not the key factor** for TC formation in an environment with **large low-frequency vorticity**, but very important if the environmental low-frequency vorticity is small.

# Conclusions (?)

- Under an environment (monsoon-like) with favorable condition, especially large vorticity, **TC formation can be expected** -- *deterministic nature of TC formation.*
- But the **timing and location** of TC formation are affected by convective process -- *stochastic nature.*
- Under a less favorable environment (easterly wave-like), convections play key role to TC formation -- *TC formation is more like a stochastic process.*

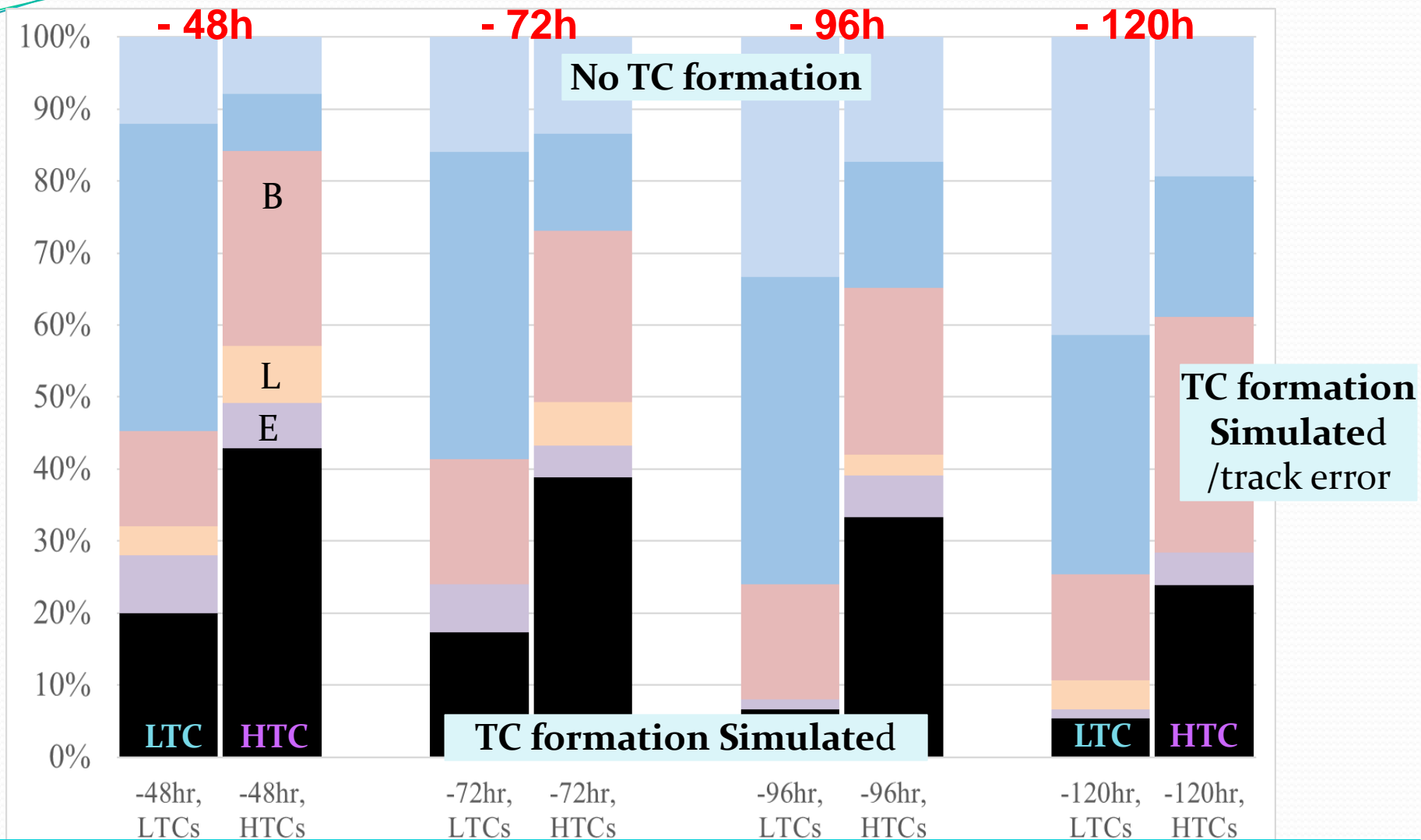
**Need more studies to fully address this issue.**



Thanks for your attention

# TIGGE forecasts (ECMWF, NCEP, AMMC)

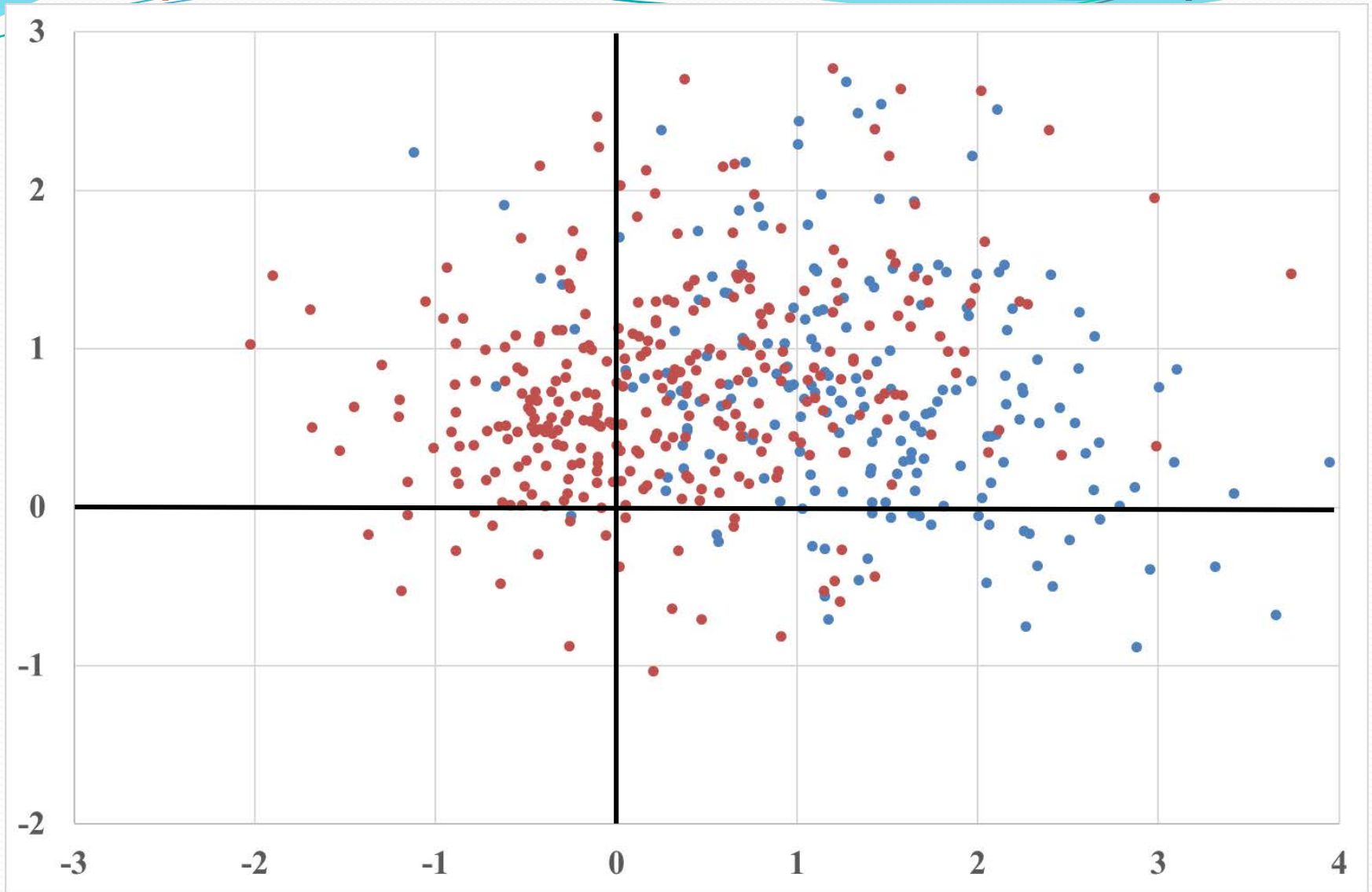
The THORPEX Interactive Grand Global Ensemble (TIGGE)



The relative proportions of five simulation results in TIGGE forecasts are **similar to those for HTCs and LTCs**

**(red: non-formation, black: formation)**

**high pass filtered ( $\times 10^{-5} \text{ s}^{-1}$ )**



**low pass filtered vorticity ( $\times 10^{-5} \text{ s}^{-1}$ )**